

SIGNAL FINANCIAL PLANNING, LLC

Privacy Policy

Signal Financial Planning, LLC respects the privacy of all clients and prospective clients (collectively termed “clients”), both past and present. It is recognized that you have entrusted our firm with non-public personal information, and it is important that both access persons and clients are aware of firm policy concerning what may be done with that information.

The firm collects personal information about clients from the following sources:

- Information clients provide to complete their financial plans or investment recommendations.
- Information clients provide in engagement agreements and other documents completed in connection with opening and maintaining an investment account.
- Information clients provide verbally.
- Information received from service providers, such as custodians, about client transactions.

The firm does not disclose non-public personal information about our clients to anyone, except in the following circumstances:

- When required to provide services our clients have requested.
- When our clients have specifically authorized us to do so.
- When required during a firm assessment (i.e., independent audit).
- When permitted or required by law (i.e., periodic regulatory examination).

Within the firm, access to client information is restricted to personnel that need to know that information. All access persons and service providers understand that client information is confidential, and they are instructed not to discuss that information with anyone else unless specifically authorized in writing by the client to do so.

To ensure security and confidentiality, the firm maintains physical, electronic, and procedural safeguards to protect the privacy of client information.

The firm will provide you with its privacy policy on an annual basis* (per federal law), and at any time, in advance, if firm privacy policies are expected to change.

Contact us at:

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